| General information about company | | | | | | |
|--|--|--|--|--|--|--|
| Scrip code | 513309 | | | | | |
| Name of the company | GOLKONDA ALUMINIUM EXTRUSIONS LIMITED | | | | | |
| Whether company is SME | No | | | | | |
| Class of Security | Equity Shares | | | | | |
| Type of report | Quarterly | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2017 | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | Yes |
| 3 | Whether the Listed Entity has issued any Warrants? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| | Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|-----------------|---|--------------|-------------------|-----------------------|--------------------------|--------------|-------------------------------------|---|---------------|----------|-----------------|
| | Category | ACCPT/ | No. of fully paid | , | No. Of | Total nos. | Shareholding as a % of total no. of | Number of Voting Rights held in each class of securities (IX) | | | |
| Category (I) | of shareholder | shareholders | up equity | equity | underlying Depository | held (VII) | shares (calculated as per SCRR, | No of Voti | ng (XIV |) Rights | Total as a |
| (II) | (II) | 1 (1111) | held (IV) he | shares held (V) | Receipts (VI) | = (IV)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: | Class eg:y | Total | % of (A+B+C) |
| (A) | Promoter & Promoter Group | 3 | 7500100 | | | 7500100 | 60.5 | 7500100 | | 7500100 | 60.5 |
| (B) | Public | 6174 | 4897013 | | | 4897013 | 39.5 | 4897013 | | 4897013 | 39.5 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 6177 | 12397113 | | | 12397113 | 100 | 12397113 | | 12397113 | 100 |

| | Table I - Summary Statement holding of specified securities | | | | | | | | | |
|-----------------------------|---|------------------------|--|---|---|--|---------|--|---|-------------------------|
| Category of shareholde (II) | | Outstanding Underlying | Shares | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (| Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares |
| | shareholder (II) | | securities and No. Of Warrants (Xi) (a) | as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | held in dematerialized form (XIV) | |
| (A) | Promoter & Promoter Group | 3424000 | | 3424000 | 69.05 | | | | | 7500100 |
| (B) | Public | 0 | | 0 | 30.95 | | | | | 4490286 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | 3424000 | | 3424000 | 100 | | | | | 11990386 |

| , | Table II - State | ment show | ing shar | eholdi | ng patterr | of the P | romoter an | d Promo | ter Gi | oup | |
|---|---|-------------------------|-----------------------------------|---------------------------------|------------------------------------|---------------------------|--|---|---------------|-----------|---------------------------|
| | | | No. of | No. Of Partly | No. Of | Total nos. | Shareholding as a % of total no. of | Number of Voting Rights held in each class of securities (IX) | | | |
| Sr. | Category & Name of the | Nos. Of shareholders | No. of fully paid up equity | paid- up | shares underlying Depository | shares held (VII) = | shares (calculated as | No of Voting (XIV | | 7) Rights | Total as a % of |
| | Shareholders (I) | (III) | shares held (IV) | equity shares held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | Total Voting rights |
| A | Table II - Statemen | t showing shar | eholding pa | ttern of t | the Promoter | and Promote | er Group | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 7500100 | | | 7500100 | 60.5 | 7500100 | | 7500100 | 60.5 |
| Sub-Total (A)(1) | | 2 | 7500100 | | | 7500100 | 60.5 | 7500100 | | 7500100 | 60.5 |
| (2) | Foreign | | | | | | | | | | |
| (e) | Any Other (specify) | 1 | 0 | | | 0 | 0 | 0 | | 0 | 0 |
| Sub-Total (A)(2) | | 1 | 0 | | | 0 | 0 | 0 | | 0 | 0 |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 3 | 7500100 | | | 7500100 | 60.5 | 7500100 | | 7500100 | 60.5 |
| В | Table III - Statemen | nt showing sha | reholding pa | attern of | the Public sh | areholder | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 2 | 2150 | | | 2150 | 0.02 | 2150 | | 2150 | 0.02 |
| Sub-Total (B)(1) | | 2 | 2150 | | | 2150 | 0.02 | 2150 | | 2150 | 0.02 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 6039 | 2587983 | | | 2587983 | 20.88 | 2587983 | | 2587983 | 20.88 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 24 | 1600863 | | | 1600863 | 12.91 | 1600863 | | 1600863 | 12.91 |
| (e) | Any Other (specify) | 109 | 706017 | | | 706017 | 5.7 | 706017 | | 706017 | 5.7 |
| Sub-Total (B)(3) | | 6172 | 4894863 | | | 4894863 | 39.48 | 4894863 | | 4894863 | 39.48 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3) | | 6174 | 4897013 | | | 4897013 | 39.5 | 4897013 | | 4897013 | 39.5 |
| С | Table IV - Stateme | nt showing sha | reholding p | attern of | the Non Pror | noter- Non l | Public sharehold | ler | | | |
| Total (A+B+C2) | | 6177 | 12397113 | | | 12397113 | 100 | 12397113 | | 12397113 | 100 |
| Total (A+B+C) | | 6177 | 12397113 | | | 12397113 | 100 | 12397113 | | 12397113 | 100 |

| Ta | able II - Stat | tement shov | ving shareholdi | ng pattern of the Promo | ter a | nd Pro | mot | er Gro | ир |
|--|--|---------------------------------|--|---|--|---------------------------------|--|---------------------------------|---|
| Sr. | No. Of Shares Underlying No. of Shares Underlying | | No. Of Shares Underlying Outstanding | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares |
| | Outstanding convertible securities (X) | Outstanding Warrants (Xi) | convertible securities and No. Of Warrants (Xi) (a) | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | held in dematerialized form (XIV) |
| A | Table II - Stat | ement showing | g shareholding patte | ern of the Promoter and Promoter | ter Gr | oup | | ı | |
| (1) | Indian | | | | | | | | |
| (a) | 0 | | 0 | 47.41 | | | | | 7500100 |
| Sub-Total (A) (1) | 0 | | 0 | 47.41 | | | | | 7500100 |
| (2) | Foreign | | | | | | | | |
| (e) | 3424000 | | 3424000 | 21.64 | | | | | 0 |
| Sub-Total (A) (2) | 3424000 | | 3424000 | 21.64 | | | | | 0 |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | 3424000 | | 3424000 | 69.05 | | | | | 7500100 |
| В | Table III - Sta | tement showin | g shareholding patt | tern of the Public shareholder | | • | | • | |
| (1) | Institutions | | | | | | | | |
| (a) | 0 | | 0 | 0.01 | | | | | 2150 |
| Sub-Total (B) (1) | 0 | | 0 | 0.01 | | | | | 2150 |
| (3) | Non-institutio | ons | | | | | | | |
| (a(i)) | 0 | | 0 | 16.36 | | | | | 2255006 |
| (a(ii)) | 0 | | 0 | 10.12 | | | | | 1600863 |
| (e) | 0 | | 0 | 4.46 | | | | | 632267 |
| Sub-Total (B) (3) | 0 | | 0 | 30.94 | | | | | 4488136 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | 0 | | 0 | 30.95 | | | | | 4490286 |
| С | Table IV - Sta | tement showing | g shareholding pat | tern of the Non Promoter- Non | Publi | c shareho | older | | • |
| Total (A+B+C2) | 3424000 | | 3424000 | 100 | | | | | 11990386 |
| Total (A+B+C | 3424000 | | 3424000 | 100 | | | | | 11990386 |
| Disclosure of n | otes on shareho | olding pattern | | | • | • | • | • | Textual Information(1) |

| | Text Block |
|-----------------------|---|
| Textual Information() | 1. Post to the open offer, Mrs. Utpal Agrawal and Mr. H. P. Agrawal became the new promoters of the Company and acquired 75,00,000 Equity Shares from the erswhile promoter, OSI India Holding A/S. OSI India Holding A/S is a wholly owned subsidiary of Alumeco A/S Denmark which is presently holding 34,24,000 10% Cumulative Redeemable Optionally Convertible Preference Shares which is subject to reclassification into public category in terms of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. The approval of shareholders on the same is pending 2. 34,24,000 10% Cumulative Redeemable Optionally Convertible Preference Shares are held by Alumeco A/s, Denmark (the holding Company of erstwhile promoter, M/s OSI India Holding A/S, Denmark). |

| | Any Other (specify) | | | | | | | | |
|--|--|-----------------------|--|--|--|--|--|--|--|
| Searial No. | 1 | | | | | | | | |
| Category | Bodies Corporate | | | | | | | | |
| Name of the Shareholders (I) | ALUMECO A/S, DENMARK | Click here to go back | | | | | | | |
| PAN (II) | AAJCA6128R | Total | | | | | | | |
| No. of the Shareholders (I) | 1 | 1 | | | | | | | |
| No. of fully paid up equity shares held (IV) | 0 | 0 | | | | | | | |
| No. Of Partly paid- up equity shares held (V) | | | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 0 | 0 | | | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | 0 | | | | | | | |
| Number of Voting | Rights held in each class of securities (IX) | | | | | | | | |
| Class eg: X | 0 | 0 | | | | | | | |
| Class eg:y | | | | | | | | | |
| Total | 0 | 0 | | | | | | | |
| Total as a % of Total Voting rights | 0 | 0 | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | 3424000 | 3424000 | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 3424000 | 3424000 | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 21.64 | 21.64 | | | | | | | |
| Number of Locked | Number of Locked in shares (XII) | | | | | | | | |
| No. (a) | | | | | | | | | |
| As a % of total Shares held (b) | | 0 | | | | | | | |
| | pledged or otherwise encumbered (XIII) | | | | | | | | |
| No. (a) | | | | | | | | | |
| As a % of total Shares held (b) | | 0 | | | | | | | |

| Number of equity shares held in dematerialized form (XIV) | 0 | 0 |
|--|---|---|
| Reason for not providing PAN | | |

| Individ | uals - ii. Individual shareholders holding nominal share | e capital in excess of Rs. 2 lakhs. |
|---|--|-------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | DIPAK KANAYALAL SHAH | Click here to go back |
| PAN (II) | AVEPS4654B | Total |
| No. of fully paid up equity shares held (IV) | 350000 | 350000 |
| No. Of Partly paid- up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 350000 | 350000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.82 | 2.82 |
| Number of Voting | Rights held in each class of securities (IX) | |
| Class eg: X | 350000 | 350000 |
| Class eg:y | | |
| Total | 350000 | 350000 |
| Total as a % of Total Voting rights | 2.82 | 2.82 |
| No. Of Shares Underlying Outstanding convertible securities (X) | 0 | 0 |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 0 | 0 |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.21 | 2.21 |
| Number of Locked | in shares (XII) | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 350000 | 350000 |
| Reason for not pro- | viding PAN | |
| Reason for not providing PAN | | |

| | Indiv | iduals/Hindu undivided Family | |
|---|--------------------------------------|-------------------------------|-----------------------|
| Searial No. | 1 | 2 | |
| Name of the Shareholders (I) | UTPAL AGARWAL | HARI PRAKASH AGRAWAL | Click here to go back |
| PAN (II) | ADHPA8517E | ADNPA4111G | Total |
| No. of fully paid up equity shares held (IV) | 5500000 | 2000100 | 7500100 |
| No. Of Partly paid- up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 5500000 | 2000100 | 7500100 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 44.37 | 16.13 | 60.5 |
| Number of Voting | Rights held in each class of securit | ies (IX) | |
| Class eg:X | 5500000 | 2000100 | 7500100 |
| Class eg:y | | | |
| Total | 5500000 | 2000100 | 7500100 |
| Total as a % of Total Voting rights | 44.37 | 16.13 | 60.5 |
| No. Of Shares Underlying Outstanding convertible securities (X) | 0 | 0 | 0 |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 0 | 0 | 0 |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 34.76 | 12.64 | 47.41 |
| Number of Locked | in shares (XII) | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| | pledged or otherwise encumbered (| (XIII) | <u> </u> |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 5500000 | 2000100 | 7500100 |
| Reason for not pro | viding PAN | | |

| Reason for not | | |
|----------------|--|--|
| providing PAN | | |

| | | | Any C | Other (specify) | | |
|---|---------------------|---------------------|----------------|--|---------------------|--------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | |
| Category | Bodies Corporate | NRI – Non- Repat | NRI – Repat | NRI – Repat | Clearing Members | |
| Category / More than 1 percentage | Category | Category | Category | More than 1 percentage of shareholding | Category | |
| Name of the Shareholders (I) | | | | ROSHANI NEETISH DOSHI | | Click here to go back |
| PAN (II) | | | | AJSPR1146D | | Total |
| No. of the Shareholders (I) | 76 | 11 | 20 | 1 | 2 | 109 |
| No. of fully paid up equity shares held (IV) | 464301 | 25695 | 215521 | 169900 | 500 | 706017 |
| No. Of Partly paid- up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 464301 | 25695 | 215521 | 169900 | 500 | 706017 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.75 | 0.21 | 1.74 | 1.37 | 0 | 5.7 |
| Number of Voting | Rights held in | each class of secu | rities (IX) | | | - |
| Class eg: X | 464301 | 25695 | 215521 | 169900 | 500 | 706017 |
| Class eg:y | | | | | | |
| Total | 464301 | 25695 | 215521 | 169900 | 500 | 706017 |
| Total as a % of Total Voting rights | 3.75 | 0.21 | 1.74 | 1.37 | 0 | 5.7 |
| No. Of Shares Underlying Outstanding convertible securities (X) | 0 | 0 | 0 | 0 | 0 | 0 |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | 0 | 0 | 0 | 0 | 0 | 0 |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.93 | 0.16 | 1.36 | 1.07 | 0 | 4.45 |
| Number of Locked | l in shares (XII) |) | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 390551 | 25695 | 215521 | 169900 | 500 | 632267 |

| | l . | İ | | 1 | | l I | |
|------------------------------|-----|---|--|---|--|-----|--|
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |